

# Case 2: Management of Neuroendocrine Carcinoma

Ana De Jesus Acosta MD

Associate Professor

Gastrointestinal Medical Oncology

Director Neuroendocrine Multidisciplinary Clinics



JOHNS HOPKINS  
MEDICINE

# Neuroendocrine Carcinoma Epidemiology

- Rare! – 0.5-0.8/100,000
- Primary site in the digestive system
  - Colorectal 41%
  - Upper GI tract 23%
  - Pancreas 20%
- Risk factors are unknown (not strongly associated with smoking)

# Neuroendocrine Neoplasms

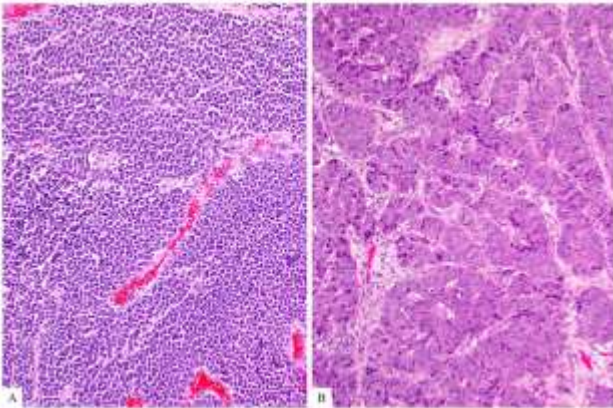


Differentiation	Terminology	Grade	Mitotic Rate (mitosis/2 mm <sup>2</sup> )	Ki67 Index (Percent)
Well differentiated	NET G1	Low	<2	<3
	NET G2	Intermediate	2-20	3-20
	NET G3	High	>20	>20
Poorly differentiated	NEC, small cell type	High	>20	>20
	NEC, large cell type	High	>20	>20

# Pathology

- **Morphology:** poorly diff vs well diff
- **Grade:**
  - Ki67 > 55% points to NEC
  - Small cell Ki67 typically > 90%

Small Cell      Large Cell



WHO classification. International Agency for Research on Cancer 2022.  
McHugh KE, *Am J Clin Pathol*. 2020  
Rooper et al. 2017

- **Immunohistochemistry**
  - Majority positive for Synaptophysin, pankeratins (e.g. CAM5.2, AE1/AE3), CgA
  - Small cell: can lack CgA; 25% of NECs are negative for all traditional general neuroendocrine markers.
    - Insulinoma-associated protein 1 (INSM1) has 85% sensitivity. Expressed by up to 95% of NECs.
    - CXCR4 (diffuse, strong staining favors NEC)
- **Site of origin (Academic)**
  - IHC can facilitate NET site of origin assignment
  - Most occult primaries:
    - Midgut (CDX2)
    - Pancreas (islet 1, PAX6 or polyclonal PAX8, PR)
    - Rectum (SATB2)

# Management of Advanced NEC

First  
Line

Cisplatin (or Carbo)  
and Etoposide

Cisplatin (or Carbo)  
and Irinotecan

Trials

BSC if PS >2

# Neuroendocrine Carcinoma First-line

- **Platinum and Etoposide**

- Response rate 30-50%; PFS 4-6 m; OS 11-12 m (OS only 7.6-8 m in colorectal)
- Immediate progression with no benefit in 30% in digestive NEC, 60% in colorectal NEC

Reference	Design	Treatment	Number	Diff	ORR (%)	PD (%)	PFS (mo)	OS (mo)
Sorbye et al. Ann Oncol. 2013	Retrospective	Etoposide + Cisplatin or carboplatin	252	G3	31	36	4 mo	11 mo
Walter et al. Eur J Cancer. 2017	Retrospective	Platinum + Etoposide	152	Poorly	50	27	6.2	11.6
Zhang et al. Cancer. 2020	Phase II-R	Cisplatin + Etoposide or irinotecan	66	Poorly	42 vs 42	35 vs. 13	6.4 vs. 5.8	11.3 vs. 10.2
Morizane et al. JAMA Oncol. 2022	Phase III	Cisplatin + Etoposide or irinotecan	170	Poorly	55 vs. 53	13 vs. 15	5.6 vs 5.1	12.5 vs. 10.9



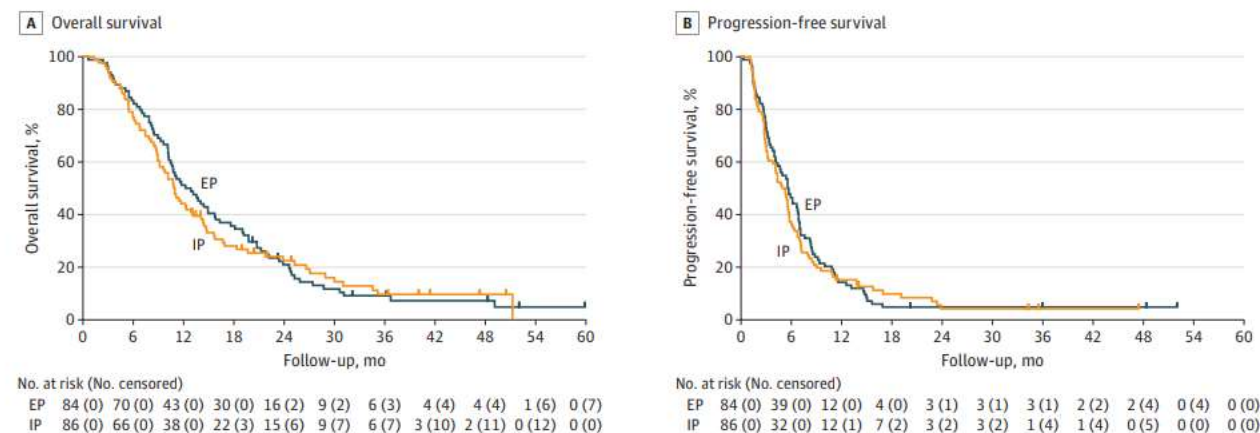
# Neuroendocrine Carcinoma First-line

- Irinotecan/cisplatin (TOPIC-NEC)

- Randomized phase III trial 170 patients with advanced GI NEC. OS as primary endpoint
- At least equivalent efficacy as cisplatin and etoposide (EP) in GI-NEC
- No significant difference in mOS, PFS or responses
- Grade 3 and 4 toxicity rates were higher with cisplatin plus etoposide for neutropenia (92 versus 54 percent), leukopenia (61 versus 31 percent), and febrile neutropenia (27 versus 12 percent)

OS  
12.5 months in the EP arm  
10.9 months in the IP arm

Figure 2. Overall and Progression-Free Survival



EP indicates etoposide plus cisplatin; IP, irinotecan plus cisplatin.

PFS  
5.6 months in the EP arm  
5.1 months in the IP arm

# Trials comparing first line chemotherapy

Trial	Phase	Regimen	N	Endpoint	ORR (%)	PFS (mo)	OS (mo)	Reference
TOPIC-NEC (Asia)	III	EP IP	170	OS	54% 52%	5.6 5.1	12.5 10.9	Morizane JAMA Oncol 2022
EA2142 (USA)	II-R	EP CAPTEM	N = 67 EP 35 CAPTEM 32  57% PDNEC 33% G3NET 10%unknown	PFS (6→10 mo)	10% 9%	5.4 2.4	13.6 12.6	Eads et al., (2022), ASCO
FOLFIRINEC (France)	II-Rand	EP mFFx	218 GEP-NEC	PFS (5→7.5 mo)				Ongoing



# Should we add immunotherapy first line?

- NICE-NEC (Spain, ESMO 2022)
- PE-Nivolumab then Nivolumab
- Phase II, n=38 G3 NEN (29% NET-G3)
  - OS at 12 months 54%
  - ORR 54%
  - PFS 6 mo
  - OS 14 mo

- SWOG Phase II/III trial is evaluating platinum/etoposide with or without atezolizumab in NEC

Metastatic poorly differentiated extrapulmonary small cell NEC

EP + Atezolizumab x 4 → Atezo Maintenance

EP + Atezolizumab

EP x 4

# NGS

## • Variants with Potential Targeted Therapies

- KRAS p.G12C
- TMB:High 13 Mt/Mb
- TP53 p.C176F
- APC p.E1059\* and p.E1374\*,
- JAK1 p.S1043I

Detected - Tier 2: Potential significance (34)

Gene	Variant	Associated Diagnosis	Val
ALK	ALK:p.Q1908E	Liver disease, unspecified	47.69%
APC	APC:p.E1059*	Liver disease, unspecified	34.04%
APC	APC:p.E1374*	Liver disease, unspecified	33.85%
ARID1A	ARID1A:p.G1332/Q1334del	Liver disease, unspecified	32.81%
ATM	ATM:p.V116H	Liver disease, unspecified	32.34%
BAP1	BAP1:p.L35I	Liver disease, unspecified	30.02%
CAD	CAD:p.G1200G	Liver disease, unspecified	30.33%
CCTB	CCTB:p.V88H	Liver disease, unspecified	25.12%
DDR4	DDR4:p.R130H	Liver disease, unspecified	34.15%
FBXO11	FBXO11:p.W532C	Liver disease, unspecified	45.61%
GATA1	GATA1:p.A56E	Liver disease, unspecified	50.58%
JAK1	JAK1:p.S1043I	Liver disease, unspecified	50.00%
KMT2A	KMT2A:p.T227H	Liver disease, unspecified	40.89%
KRAS	KRAS:p.G12C	Liver disease, unspecified	57.87%
LRKK2	LRKK2:p.D1630Y	Liver disease, unspecified	34.07%
LRKK2	LRKK2:p.E1360I	Liver disease, unspecified	30.22%
MAP3K8	MAP3K8:p.P98L	Liver disease, unspecified	45.49%
MT	MT:p.S525E	Liver disease, unspecified	40.04%
MT	MT:p.A706I	Liver disease, unspecified	15.90%
MT	MT:p.L2106V	Liver disease, unspecified	38.42%
MPL	MPL:p.A181D	Liver disease, unspecified	34.84%
MUTYH	MUTYH:p.Y176C	Liver disease, unspecified	49.60%
PRK32B	PRK32B:p.A49H	Liver disease, unspecified	34.78%
RAD51D	RAD51D:p.R253L	Liver disease, unspecified	28.38%
RARA	RARA:p.F37L	Liver disease, unspecified	23.18%
RB1	RB1:p.E280P	Liver disease, unspecified	40.79%
RELN	RELN:p.A2383I	Liver disease, unspecified	40.04%
SMAD4	SMAD4:p.E338*	Liver disease, unspecified	37.63%
SMARCA1	SMARCA1:p.E186*	Liver disease, unspecified	31.39%
SYNE1	SYNE1:p.V1460R	Liver disease, unspecified	48.27%
TCE3	TCE3:p.G915H	Liver disease, unspecified	39.61%
TET2	TET2:p.R651A	Liver disease, unspecified	30.43%
TP53	TP53:p.C176F	Liver disease, unspecified	50.83%
TSC2	TSC2:p.A329P	Liver disease, unspecified	44.41%

TABLE 1. VARIANTS WITH POTENTIAL TARGETED THERAPIES

Gene / Biomarker	Alteration	%VAF	FDA-approved / NCCN-recommended Drug for this Indication	FDA-approved / NCCN-Recognized Resistance for this Indication	FDA-approved / NCCN-recommended Drug for another Indication	Clinical Trials ( Number of Trials )
APC	p.E1059* & p.E1374*	54.04 28.85	None	None	None	phase 1 ( 1 )
JAK1	p.S1043I	50.00	None	None	None	phase 1 ( 2 )
KRAS	p.G12C	57.87	None	None	Adagrasib (Non-Small Cell Lung Carcinoma )	phase 1 ( 19 ) phase 1 or 2 ( 19 ) phase 2 ( 2 )
TMB	TMB High	13.23 mutations/Mb	Pembrolizumab	None	None	phase 1 ( 34 ) phase 1 or 2 ( 24 ) phase 2 ( 7 )
TP53	p.C176F	80.83	None	None	None	phase 1 ( 2 )

\*Please visit [clinicaltrials.gov](https://clinicaltrials.gov) for the most up-to-date and detailed inclusion and exclusion criteria

The assay detected a high tumor mutation burden, as well as driver mutations in APC, KRAS, TP53, RB1, SMAD4, SMARCA1, and MUTYH. A high tumor mutation burden (TMB) strongly predicts response to treatment with immune checkpoint inhibitors, such as pembrolizumab.

The detected MUTYH variant has been reported as a pathogenic germline mutation associated with hereditary cancer predisposing syndromes, including MYH-associated polyposis. Genetic counseling and follow-up germline testing may be considered, if clinically indicated.

Please contact [MolecularTumorBoard@jhmi.edu](mailto:MolecularTumorBoard@jhmi.edu) for additional consultation regarding these findings. For possible enrollment on biomarker-driven JHMI trials, contact [Phase1trials@jhmi.edu](mailto:Phase1trials@jhmi.edu).

# Management of Advanced NEC

First  
Line

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and Etoposide

Cisplatin (or carbo)  
and Irinotecan

Trials

BSC if PS >2

Second  
Line

Tumor Agnostic  
Treatment

Relapse > 3mo  
Rechallenge EP

5FU based:  
FOLFOX  
FOLFIRI  
NaIRI

Immunotherapy-  
Dual

# Second Line: *Tumor Agnostic Molecular Targets*

Molecular Alteration	Therapy Options	Frequency	Reference
ALK Mutation, EML4-ALK fusion	ALK inhibitor (Alectinib)	Rare	Wang et al, The Oncologist 2017 Lei Xi et al, Transl Lung Cancer Res 2022 AkBoundova et al Front Oncol 2022
RET gene fusions	RET-inhibitor (Selpercatinib)	Rare	Ray et al J Prec Med 2018 Subbiah V. Lancet Oncol. 2022
NTRK gene fusions	Entrectinib, Larotrectinib	0.3%	Doebelle Lancet Oncol 2020 Sigai D J Natl Comp Canc Network 2017
KRAS G12C	Sotorasib	1.1% 6-40% (CRC)	Wang et al Front Mol Biosci 2022 Saiki et al JTO Clin Case Rep 2023
BRAfV600 Mutations	BRAFi + MEKi: Dabrafenib + Trametinib	20% mainly CRC	Ray et al J Prec Med 2018 Klempner et al Cancer Discov 2016
TMB high/MSI high/ MMR gene defects	Pembrolizumab Dostarlimab Nivolumab-Ipilimumab	<10% mainly high grade	Puccini et al Clin Cancer Res 2020 Marabelle et al. Lancet Oncol 2020 Yachida et al. 2012, Tang et al. 2016b, Girardi et al. 2017 Mohamed A ET AL. Cancers. 2022

# Re-Treatment

- NORDIC NEC retrospective study
- Retreatment with the same platinum-based regimen
  - ORR 15%
  - SD 27%

# Second Line: *Chemotherapy*

- 5FU-based chemotherapy regimens or site specific
  - FOLFOX
  - FOLFIRI
  - FOLFIRINOX

Bajetta et al. Cancer Chemother Pharmacol. 2007  
Zhu et al. J Gastrointest Cancer. 2015  
Hentic et al. 2012, Endocr Relat Cancer;  
Hadoux et al. Endocr Relat Cancer. 2015  
Walter et al. Eur J Cancer. 2017

# Trials comparing second line chemotherapy

Trial	Regimen	Phase	N	Population	ORR (%)	PFS (mo)	Survival	Reference
PRODIGE 41-BEVANEC	FOLFIRI-BEV FOLFIRI	II-R	150	Second line EP first line in advanced NEC	25% 18%	3.7 3.5	OS 7 vs 9 months	Walter T. Lancet Oncology 2023
NET-02	nalIRI/5FU or Docetaxel	II-R Non comparative	58	second line PD epNEC	11% 10%	3 2	6 months PFS 30% 14%	McNamara MG. EClinicalMedicine. 2023
SENECA (Italy)	CAPTEM vs. FOLFIRI	II-R	53/112 Stopped futility	2 <sup>nd</sup> line in PDNEC		12w-DCR FOLFIRI 39.1% CAPTEM 28%	12 mo OS FOLFIRI 28.4% CAPTEM 28.4%	Bongiovanni et al., (2024), <i>European Journal of Cancer</i>

Ongoing  
TENEC (Italy) NCT04122911-Temozolomide P2  
NCT04042714 (USA)- TAS-102



# Second line: Immunotherapy

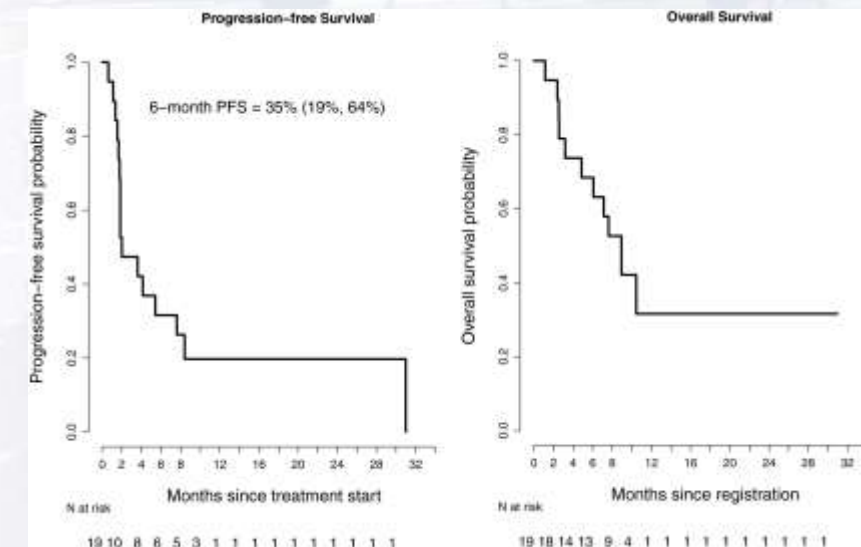
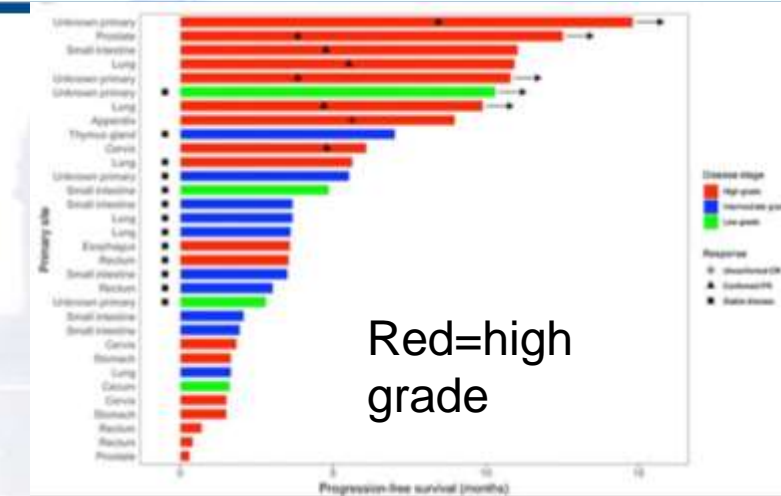


Trial	Regimen	Phase	n	Population	ORR (%)	mPFS (mo)	mOS	Reference
NIPINEC (France)	Ipi-Nivo vs Nivolumab	II-R (Non Comp)	185	GEP-NEC (n=93)	14.9 7.2	1.9 1.8	5.8 7.2	Girard N, Mazieres J, Otto J , et al Ann Oncol. 2021;32S:S1283
NCT03136055	Pembro vs Pembro-Chemo (Paclitaxel or Irinotecan)	II-not R	14 22	Ep-NEC	7 5	1.8 2	7.8 4.8	Raj, (2023), <i>Br J Cancer</i>
DART	Ipi-Nivo	II	18 NEC	Ep-NEC	44	6mo PFS -44%	11	Patel SP. Cancer. 2021
DUNE	Durva-Treme	II	18/123	Cohort 4 G3 NET-18 NEC	3/18 (17%)	2.5 (all cohort 4)	5.9	Capdevila. Nature Comm. 2023
AveNEC	Avelumab	II	38/60	60- G3 38- NEC	2/38 (5%)	1.5	4.6	Fottner et al. CCR. 2024

# DART SWOG 1609

## A phase II basket trial of Dual Anti-CTLA-4 and Anti-PD-1 blockade in Rare Tumors in patients with nonpancreatic neuroendocrine tumors

- Included all histologic grades
- 32 eligible patients received therapy
  - 18 (56%) had high-grade carcinoma
  - 15 (47%) were gastrointestinal
- Overall response rate 25% ( 1CR, 7 PR)
- Of those with high-grade, ORR was 44% (8/18) versus 0% in low/intermediate grade tumors
- 6 month PFS 31%
- Median OS 11 months



# Novel (Experimental) Targets

## DLL3

- Notch ligand selectively expressed on the cell surface of SCLC, and epNECs
- Expressed >50-80% NEC depending of location
- DAREON-5 BI 764532 (NCT05882058)
  - Single arm phase II dose selection trial of BI764532, a DLL3 Targeting T cell engager in patients with SCLC or extra-pulmonary NEC DLL3+

## Other phase II trials in DLL3 + NEC

- HPN328 (NCT04471727, anit-DLL3/CD3)
- Tarlatamab (anti-DLL3/CD3)
- DLL3 CAR-T cell

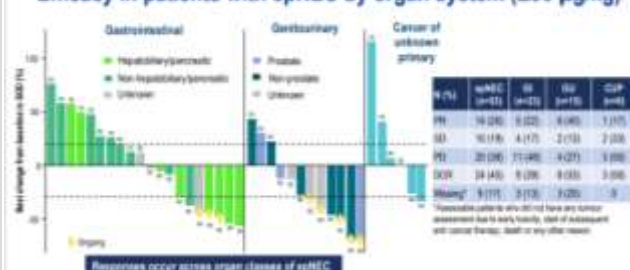
## Other targets:

- -Lurbinectedin (RNA polymerase II, NCT05126433)
- -PARP, HDAC, ATR, SSTR, XPO1, BCL-2) among others

Efficacy in all patients and epNEC patients (all dose levels)



Efficacy in patients with epNEC by organ system (≥90 µg/kg)



# Conclusions

- Systemic treatment options in first line setting include platinum/etoposide and platinum/irinotecan.
- Consider NGS testing to identify tumor agnostic molecular targets.
- Clinical trials indicated at any line of treatment.

# THANK YOU!